No Transaction Fee (NTF) Mutual Fund Program

The following list represents the Premier Fund Families participating in the No Transaction Fee “NTF” Program, which allows you to buy, sell and exchange thousands of load and no-load mutual funds without incurring transaction charges.¹

Alger
AllianceBernstein (A, B & C Shares Only)
Allianz (A, B & C Shares Only)
Alpine Funds
Alps (A & C Shares Only)
Altegris
Amana Funds
American Beacon (A, C & Investor Share Classes Only)
American Funds (Excludes R Class)
AMG (Excludes Institutional Shares)
AQR
Arbitrage (R Shares Only)
ASG (A Shares Only)
Astor (I & R Shares Only)
Auer
Baron
BlackRock
Brandes Funds
Buffalo
Burnham Funds
Calamos
Cambiar (Excludes Institutional Shares)
Causeway Funds
Cohen & Steers
Columbia Funds
Conestoga
CRA Qualified Investment Fund (Institutional Share Class Only)
Cullen Funds
Delaware
Deutsche (Excludes Institutional Class Funds)
Direxion (Investor Share Class Only)
DoubleLine (I & N Shares Only)
Dreyfus (Excludes No Load Funds)
Dunham
Eagle (A, C & I Shares Only)
Emerald
Federated²
First Eagle
Franklin Templeton
GAMCO (Includes all GAMCO, Gabelli & Comstock)
Gateway (A Shares Only)
GaveKal
Goldman Sachs (Excludes No Load and Enhanced Income Fund)
Guggenheim³
Guinness Atkinson
Hansberger (A and Advisor Shares Only)
Harding Loeven
Hatteras Fund
Henderson
ICON
Intrepid Capital Funds
Invesco (Excludes Investor Share Class)
IVA Funds
Ivy
JPMorgan⁴
Keeley Funds
Keystone
Lazard
LoCorr
Loomis (A Shares Only)
Lord Abbett (A Shares Only)
Madison (Y Shares Only)
Mainstay
Manning & Napier (A, C & S Shares Only)
Marketfield
Matthews Asian Funds (Excludes Institutional Shares)
MutualHedge Frontier Legends
Natixis (A Shares Only)
Neuberger Berman (A & C Shares Only)
Nuveen (A Shares Only)
Oak Ridge
Oakmark (A Shares Only)
Oppenheimer
Pax World
Payden
PIMCO (A, B & C Shares Only)
Pioneer
PMC Funds
Polen Funds
Princeton
Principal Funds
Prudential⁵
Putnam
RBC Global Asset Management (A and I shares only)
Reaves Asset Management
REMS Funds
Rice Hall James
RiverSource
RS Funds (A, B & C Shares Only)
Russell Funds
Satuit
Seligman
Sentinel
SSGA⁶
Stadion Funds
T. Rowe Price (Advisor Class Only)
TCW
Third Avenue (Investor Share Class Only)
Thornburg
TIAA-CREF (Retirement Shares Only)
Tocqueville
Touchstone
Van Eck (A Shares Only)
Vaughn Nelson (A Shares Only)
Voya
Wasatch
Wells Fargo Advantage (Excludes I Shares and Index Funds)

¹ Other charges that the fund family or your firm may assess are applicable
² Excludes Y & K share classes and ultra short duration funds. Other exclusions may also apply
³ Excludes Guggenheim Institutional Class Funds
⁴ A, B, C shares of Trusts, excludes no load and C shared of OGUX, OSTOX, STMXX, OEICX, OMEXX
⁵ Excludes Dryden Stock Index Fund, Dryden US Equity Active Extension Fund, Jennison Utility Fund, Target Conservative Allocation Fund, Target Growth Allocation Fund and Target Moderate Allocation Fund
⁶ Includes only the following funds: High Yield Bond, Intermediate, Directional Core Equity, Enhanced Small Cap, IAM Shares, Tuckerman Active REIT, Core Edge Equity, Emerging Markets, International Stock Selection

Important Notes on the NTF Program: Certain mutual fund companies may require your firm to establish a selling agreement with the fund company in order to transact business with the fund company. Money market funds are not eligible for the NTF Program.

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