

NO TRANSACTION FEE (NTF) MUTUAL FUND PROGRAM

The following list represents the Premier Fund Families participating in the No Transaction Fee “NTF” Program, which allows you to buy, sell and exchange thousands of load and no-load mutual funds without incurring transaction charges.¹

Alger	Emerald	Oak Ridge
AllianceBernstein (<i>A, B & C Shares Only</i>)	Federated ²	Oakmark (<i>A Shares Only</i>)
Allianz (<i>A, B & C Shares Only</i>)	First Eagle	Oppenheimer
Alpine Funds	Franklin Templeton	Pax World
Alps (<i>A & C Shares Only</i>)	GAMCO (<i>Includes all GAMCO, Gabelli & Comstock</i>)	Payden
Altegris	Gateway (<i>A Shares Only</i>)	PIMCO (<i>A, B & C Shares Only</i>)
Amana Funds	GaveKal	Pioneer
American Beacon (<i>A, C & Investor Shares Only</i>)	Goldman Sachs (<i>Excludes No Load and Enhanced Income Fund</i>)	PMC Funds
American Funds (<i>Excludes R Class</i>)	Guggenheim ⁶	Polen Funds
AMG Funds	Guinness Atkinson	Princeton
AQR	Hansberger (<i>A and Advisor Shares Only</i>)	Principal Funds
Arbitrage (<i>R Shares Only</i>)	Harding Loevner	Prudential ⁴
ASG (<i>A Shares Only</i>)	Hatteras Fund	Putnam
Astor (<i>I & R Shares Only</i>)	Henderson	Reaves Asset Management
Auer	ICON	REMS Funds
Baron	Intrepid Capital Funds	Rice Hall James
BlackRock	Invesco (<i>Excludes Investor Share Class</i>)	Ridgeworth (<i>A & I Shares Only</i>)
Brandes Funds	IVA Funds	RiverSource
Buffalo	Ivy	RS Funds (<i>A, B & C Shares Only</i>)
Burnham Funds	JPMorgan ³	Russell Funds
Calamos	Keeley Funds	Satuit
Cambiar (<i>Excludes Institutional Shares</i>)	Keystone	Seligman
Causeway Funds	Lazard	Sentinel
Cohen & Steers	LoCorr	SSGA ⁵
Columbia Funds	Loomis (<i>A Shares Only</i>)	Stadion Funds
Conestoga	Lord Abbett (<i>A Shares Only</i>)	T. Rowe Price (<i>Advisor Class Only</i>)
CRA Qualified Investment Fund (<i>Institutional Share Class Only</i>)	Madison (<i>Y Shares Only</i>)	TCW
Cullen Funds	Mainstay	Third Avenue (<i>Investor Share Class Only</i>)
Delaware	Manning & Napier (<i>A, C & S Shares Only</i>)	Thornburg
Deutsche (<i>Excludes Institutional Class Funds</i>)	Marketfield	TIAA-CREF (<i>Retirement Shares Only</i>)
Direxion (<i>Investor Share Class Only</i>)	Mathews Asian Funds (<i>Excludes Institutional Shares</i>)	Tocqueville
DoubleLine (<i>I & N Shares Only</i>)	MutualHedge Frontier Legends	Touchstone
Dreyfus (<i>Excludes No Load Funds</i>)	Natixis (<i>A Shares Only</i>)	Van Eck (<i>A Shares Only</i>)
Dunham	Neuberger Berman (<i>A & C Shares Only</i>)	Vaughn Nelson (<i>A Shares Only</i>)
Eagle (<i>A, C & I Shares Only</i>)	Nuveen (<i>A Shares Only</i>)	Voya
		Wasatch
		Wells Fargo Advantage (<i>Excludes I Shares and Index Funds</i>)

1. Other charges that the fund family or your firm may assess are applicable
2. Excludes Y & K share classes and ultra short duration funds. Other exclusions may also apply
3. A, B, C shares of Trusts, excludes no load and C shared of OGUCX, OSTCX, STMCX, OEICX, OMECX
4. Excludes Dryden Stock Index Fund, Dryden US Equity Active Extension Fund, Jennison Utility Fund, Target Conservative Allocation Fund, Target Growth Allocation Fund and Target Moderate Allocation Fund
5. Includes only the following funds: High Yield Bond, Intermediate, Directional Core Equity, Enhanced Small Cap, IAM Shares, Tuckerman Active REIT, Core Edge Equity, Emerging Markets, International Stock Selection
6. Excludes Guggenheim Institutional Class Funds

Important Notes on the NTF Program: Certain mutual fund companies may require your firm to establish a selling agreement with the fund company in order to transact business with the fund company. Money market funds are not eligible for the NTF Program.

For broker/dealer use only.