RBC Wealth Management

RBC Wealth Management
Auction Rate Securities Inquiry

Pursuant to your desire for consideration of your Auction Rate Securities (ARS) purchases, we request that you complete this form and return it to your RBC Wealth Management branch office.

Customer Name: _____

RBC Wealth Management Account Number(s): __ __ __- __ __ __ __ __;
__ __ __- __ __ __ __ __;
__ __ __- __ __ __ __ __.

Describe the nature of your inquiry. Please provide detailed information on the ARS purchase(s) and/or sale(s), including security names, dates and activity at issue:

Signature _____ Date _____

In the event your inquiry relates to ARS positions held away from RBC Wealth Management, copies of relevant materials must be provided to RBC Wealth Management for further consideration. Examples include transaction confirmations, statements, etc.

Please return this form and any supporting documentation to your RBC Wealth Management branch office. Once received, RBC Wealth Management will endeavor to research and respond to you within 30 days. If you have any additional questions, please contact your financial consultant or the branch director at your local branch office.

Sincerely,

RBC Wealth Management